Approved for use through 3/31/2007, OMB 0651-0906

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number, TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 14102-1US DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/US03/24625 INTERNATIONAL FILING DATE 06 August 2002 06 August 2003 TITLE OF INVENTION

SUBSTANCES FOR PREVENTING AND TREATING AUTOIMMUNE DISEASES APPLICANT(S) FOR DO/EO/US ESCHER, Alan P. and LI, Fengchun Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. Mark This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. 4. 🛛 The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 6. 🗆 ☐. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. A have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. 🖾 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). : Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 🔲 A preliminary amendment. An application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. 🛛 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

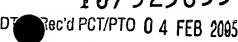
Return receipt postcard, Express Mail Certificate

20.🛛

Other items or information:

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. Lif known, see 37 CFR INTERNATIONAL					LICATION NO. ATTORNEY'S DOCKET NUMBER				
104.5.23655			PCT/US03/24625			14102-1US			
21. The following fees are submitted:							cant use	Office use only	
☐ 図 a) Basic national fee						\$	\$300.00		
						\$	\$200.00		
⊠ c) Search fee							\$500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding							\$1,000.00		
sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets			of each additional 50 or RATE ereof (round up to a whole						
- 100 =	/50 =				x \$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							· · · · · · · · · · · · · · · · · · ·		
CLAIMS NUMBER		FILED NUMBER EXTRA		Γ	RATE				
Total claims	24	- 20 =	4	х	\$50.00	\$	\$200.00		
Independent clair	ns 8	- 3 =	5	x	\$200.00	\$	\$1,000.00		
Multiple Depend	dent Claims (if a	pplicable)		+	\$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =						\$	\$2,200.00		
☑ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$1,100.00		
SUBTOTAL =						\$	\$1,100.00	· · · · · · · · · · · · · · · · · · ·	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from							**		
the earliest claimed priority date (37 CFR 1.492(f)). +									
TOTAL NATIONAL FEE =							\$1,100.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +						\$			
TOTAL FEES ENCLOSED =							\$1,100.00		
Amount to be refunded:								\$	
Amount to be charged:						-		\$	
a. A check in the amount of to cover the above fees is enclose									
b. Please charge my Deposit Account 19-2090 in the amount of \$1,100.00 to cover the above fees.									
A duplicate copy of this sheet is enclosed.									
c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment									
to Deposit Account 19-2090 . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
David A. Farah, M.D.						τ	l		
SHELDON & MAK PC					wall	y o aw			
225 South Lake Avenue, Suite 900 SIGNATURE									
Pasadena, California 91101									
						David A. Farah, M.D.			
Fax: (626) 795- E-mail: davidf@			NAME	AME					
						38,134			
REGISTRAT						TION NUMBER			



Docket No. CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) 14102-1US Application Serial No. Filing Date Examiner Group Art Unit To be assigned February 4, 2005 To be assigned To be assigned Applicant/Inventor(s): ESCHER, Alan P. and LI, Fengchun Title of Invention: SUBSTANCES FOR PREVENTING AND TREATING AUTOIMMUNE **DISEASES** I hereby certify that the following correspondence: 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2 pages) 2. Substitute Application (12 pages Specification, 3 pages Claims and 1 page Abstract) 3. Two Sheets of Drawings (Figures 1 and 2) 4. Sequence Listing (6 pages) and computer readable form (1 diskette) 5. Statement in Accordance with 37 CFR 1.821(c) and (e) (1 page) 6. Combined Declaration and Power of Attorney by Inventors Alan P. Escher and Fengchun Li (3 pages) 7. Power of Attorney and Statement Under 37 CFR 3.73(b) by Assignee, Loma Linda University (2 pages) 8. Return Receipt Postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 4, 2005 (Date) Marilyn Paik (Typed or Printed Name of Person Mailing Paper or Fee) (Signature of Person Mailing Correspondence) || EV390737979 ("Express Mail" Mailing Label No. EV 390737979 US)